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## ON MAXIMAL FAMILIES OF SUBSETS OF A FINITE SET

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Let  $\mathcal{F}$  be an  $n$ -tuple of subsets  $X_1, X_2, \dots, X_n$  of a finite set  $R$  of cardinality  $r$ . Let us consider 4 conditions which an ordered pair  $(X, Y)$  may or may not satisfy, namely

- A: if and only if there is  $v \in R$ :  $v \notin X, v \in Y$ ,
- B: if and only if there is  $v \in R$ :  $v \in X, v \notin Y$ ,
- C: if and only if there is  $v \in R$ :  $v \notin X, v \notin Y$ ,
- D: if and only if there is  $v \in R$ :  $v \in X, v \in Y$ .

Let  $P = P(A, B, C, D)$  be an arbitrary Boolean expression of A, B, C, D. The family  $\mathcal{F}$  has the property P, if and only if all ordered pairs  $(X_i, X_j)$ ,  $i < j$ , of  $\mathcal{F}$  satisfy P. Many of the well known conditions for families of subsets of a finite set can be described by certain expressions P. In this paper the maximal cardinality of  $\mathcal{F}$  is determined, whenever this exists with exception of one equivalence class.

### 1. Introduction

Let  $\mathcal{F}$  be an  $n$ -tuple of subsets  $X_1, X_2, \dots, X_n$  of the interval  $R = [1, r]$  of the first  $r$  natural numbers, i.e.,  $\mathcal{F}$  is ordered and can contain some elements manifold. Many authors have determined the maximal value of  $n$  if  $\mathcal{F}$  satisfies some conditions P. Always they considered  $\mathcal{F}$  as an unordered  $n$ -tuple of distinct subsets of  $R$ . We will enclose these restrictions in P, too. Most of the considered P are of a type which we will characterize in the following way.

Let  $v \in R$ . Let  $(X, Y)$  be an ordered pair of subsets of  $R$ . Then exactly one of the four cases holds:

- (1)  $v \notin X, v \in Y$ ,
- (2)  $v \in X, v \notin Y$ ,
- (3)  $v \notin X, v \notin Y$ ,
- (4)  $v \in X, v \in Y$ .

Basing on these cases let us consider the following properties, which an ordered pair  $(X, Y)$  may or may not possess.  $(X, Y)$  has the property

- A: if and only if there is  $v \in R$ :  $v \notin X, v \in Y$ ,
- B: if and only if there is  $v \in R$ :  $v \in X, v \notin Y$ ,
- C: if and only if there is  $v \in R$ :  $v \notin X, v \notin Y$ ,
- D: if and only if there is  $v \in R$ :  $v \in X, v \in Y$ .

Let  $P = P(A, B, C, D)$  be an arbitrary Boolean expression of  $A, B, C, D$ .  $\mathcal{F}$  has the property  $P$  if all ordered pairs  $(X_i, X_j)$ ,  $1 \leq i < j \leq n$ , satisfy the condition  $P$ .

If there is a maximal value of  $n$ , we will denote this by  $n(P, r)$ .

Now we can describe many well known conditions by expressions  $P(A, B, C, D)$ .

The following conditions for an ordered pair  $(X, Y)$  are equivalent:

$$\begin{aligned} A \vee B &\leftrightarrow X \neq Y, \\ A \wedge B &\leftrightarrow X \not\subseteq Y \not\subseteq X, \\ C &\leftrightarrow X \cup Y \neq R, \\ D &\leftrightarrow X \cap Y \neq \emptyset, \\ A \wedge \bar{B} &\leftrightarrow X \subset Y, \end{aligned}$$

where  $\bar{A}$  denotes *non A*. We will use  $AB$  in place of  $A \wedge B$ . If  $\mathcal{F}$  has the property  $AB$  we call  $\mathcal{F}$  a Sperner family (or antichain or clutter) and if  $\mathcal{F}$  has the property  $A\bar{B}$ ,  $\mathcal{F}$  forms a chain.

It is easy to see that  $n(A \vee B, r) = 2^r$  and

$$n((A \vee B)C, r) = n((A \vee B)D, r) = n(A \vee B)(C \vee D), r) = 2^{r-1}.$$

$n((A \vee B)CD, r) = 2^{r-2}$  was proved by different methods by Anderson [1], Daykin and Lovász [4], Hilton [7], Schönheim [14] and Seymour [16].

The first result of the type  $P = ABP'(C, D)$  was given by Sperner [17]. He showed

$$n(AB, r) = \binom{r}{\lfloor \frac{1}{2}r \rfloor}.$$

Short proofs were given by Lubell [10], Meshalkin [11] and Yamamoto [18]. Then Milner [12] and later Brace and Daykin [3] proved

$$n(ABC, r) = n(ABD, r) = \binom{r}{\lfloor \frac{1}{2}(r-1) \rfloor}.$$

The latter authors found the relations to  $n(ABCD, r)$  and showed

$$n(ABCD, r) = \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor}.$$

This result was given by Katona [9] and Schönheim [15], too. Finally Purdy [13], later Hilton [8] and, independently, Gronau [6] proved by different methods

$$n(AB(C \vee D), r) = \binom{r}{\lfloor \frac{1}{2}(r-1) \rfloor}.$$

It is worth noting that the condition  $AB(C \vee D)$  is really weaker than  $ABC$  or  $ABD$  but the corresponding  $n(P, r)$ 's are equal.

For some other  $P$  we can find  $n(P, r)$  trivial, e.g.  $n(A\bar{B}, r) = r + 1$ .

<sup>1</sup>  $\lfloor x \rfloor$  denotes the greatest integer not exceeding  $x$ .

It seems natural to determine  $n(P, r)$  for these considered conditions, but there are many other cases, a few of which may be interesting.

In the present paper we will determine  $n(P, r)$  for arbitrary conditions  $P(A, B, C, D)$ , whenever  $n(P, r)$  exists. Thus we will be able to say which conditions are equivalent.

## 2. Main result

We use that every Boolean function  $P(A, B, C, D) \neq 0$  can be expressed uniquely by the canonical alternative normal form.

Let  $\mathcal{C}$  be a set of any conjunctions (not necessarily elementary conjunctions). Denote

$$\mathcal{C}' = \{ABCD, ABC\bar{D}, AB\bar{C}D, AB\bar{C}\bar{D}\}$$

and denote  $\mathcal{C}'' = \mathcal{C}' \cup \{\bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D\}$ . Denote  $\mathcal{K}(\mathcal{C})$  the set of all not empty alternatives of conjunctions of  $\mathcal{C}$ , and denote  $\mathcal{K}_0(\mathcal{C}) = \mathcal{K}(\mathcal{C}) \cup \{\emptyset\}$ .  $\text{CANF}(P)$  denotes the canonical alternative normal form of  $P$ . If  $A'$  is a conjunction on  $\{A, B, C, D\}$   $A' \in \text{CANF}(P)$  means that  $A'$  is one of the elementary conjunctions of the alternative normal form of  $P$ .

We say  $P$  is equivalent to  $P'$ , written  $P \sim P'$ , if and only if  $n(P, r)$  and  $n(P', r)$  exist and  $n(P, r) = n(P', r)$  holds for all  $r \geq 2$ . Obviously, this is an equivalence relation.

We define 48 classes  $\mathcal{K}_{i,j}$  of expressions (for better systematising we define 48 classes, although only 42 classes are different) by  $P \in \mathcal{K}_{i,j} \leftrightarrow n(P, r)$  has the value described in Table 1 for  $r \geq 2$ , where  $i \in \{1, 2, \dots, 16\}$  and  $j \in \{1, 2, 3\}$  and  $f(r) = n(ACD \vee AB\bar{C}\bar{D}, r)$ .

Now we are able to formulate our main result.

**Theorem 1.** *Every expression  $P(A, B, C, D)$  for which  $n(P, r)$  exists lies in one of the classes  $\mathcal{K}_{i,j}$  as described in Table 1, and no class is empty.*

The statement of Theorem 1 means that the classes  $\mathcal{K}_{i,j}$  are the equivalence classes of our relation.

Notice that  $2^{2^4}$  Boolean functions of 4 variables exist.

In Section 3 we will show that for exactly  $2^{13}$  functions  $P$   $n(P, r)$  exists, and we will determine these functions. In Section 4 we will see that every function  $P$  tells us whether  $\emptyset \in \mathcal{F}$  and/or  $R \in \mathcal{F}$  hold or not, where  $\mathcal{F}$  is a family with maximal cardinality  $n(P, r)$ . So we will find for every  $P$  with  $\emptyset \in \mathcal{F}$  or  $R \in \mathcal{F}$  a function  $P'$  with a family  $\mathcal{F}'$  satisfying  $\mathcal{F}' = \mathcal{F} \setminus \{\emptyset\} \setminus \{R\}$ . Hence we only need to consider the 63 functions  $P$  with  $P \neq 0$ ,  $\emptyset, R \notin \mathcal{F}$ . In Section 5 we will give some lemmata that will show that certain functions are equivalent. Finally in Section 6 we will

Table 1

$i \backslash j$	1	2	3
1	$2^r - 2$	$2^r - 1$	$2^r$
2	$2^{r-1} - 1$	$2^{r-1}$	$2^{r-1} + 1$
3	$2^{r-2}$	$2^{r-2} + 1$	$2^{r-2} + 2$
4	$2^{r-1} + \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} - 1$	$2^{r-1} + \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor}$	$2^{r-1} + \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} + 1$
5	$f(r)$	$f(r) + 1$	$f(r) + 2$
6	$\binom{r}{\lfloor \frac{1}{2}r \rfloor}$	$\binom{r}{\lfloor \frac{1}{2}r \rfloor} + 1$	$\binom{r}{\lfloor \frac{1}{2}r \rfloor} + 2$
7	$\binom{r}{\lfloor \frac{1}{2}(r-1) \rfloor}$	$\binom{r}{\lfloor \frac{1}{2}(r-1) \rfloor} + 1$	$\binom{r}{\lfloor \frac{1}{2}(r-1) \rfloor} + 2$
8	$\binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor}$	$\binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} + 1$	$\binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} + 2$
9	$2 \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor}$	$2 \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} + 1$	$2 \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor} + 2$
10	$4r - 6$	$4r - 5$	$4r - 4$
11	$2r - 2$	$2r - 1$	$2r$
12	$2r - 3$	$2r - 2$	$2r - 1$
13	$r$	$r + 1$	$r + 2$
14	$r - 1$	$r$	$r + 1$
15	2	3	4
16	1	2	3

determine  $n(P, r)$  for the remaining 23 functions and thus show that every one of these functions lies in one of the 16 classes  $\mathcal{K}_{i,1}$  ( $i = 1, 2, \dots, 16$ ).

### 3. Existence of $n(P, r)$

We suppose that no pair  $(X, Y)$  satisfies  $P \equiv 0$ , i.e.  $0 \in \mathcal{K}_{16,1}$ .

**Lemma 1.** (1)  $\bar{A}\bar{B}\bar{C}\bar{D} \in \mathcal{K}_{16,1}$ .

(2) If  $\bar{A}\bar{B}\bar{C}\bar{D} \notin \text{CANF}(P)$ , then  $P \sim P \vee \bar{A}\bar{B}\bar{C}\bar{D}$ .

**Proof.** (1) An arbitrary pair  $(X, Y)$  of subsets of  $R$  satisfies  $A \vee B \vee C \vee D$ , i.e. no pair can satisfy  $\bar{A}\bar{B}\bar{C}\bar{D}$ . Hence  $\mathcal{F}$  cannot contain a pair, i.e.  $n \leq 1$ . If  $|\mathcal{F}| = 1$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$  is satisfied. (2) follows by (1) immediately.

**Theorem 2.**  $n(P, r)$  does not exist if and only if

- (1)  $\bar{A}\bar{B}C\bar{D} \in \text{CANF}(P)$  or
- (2)  $\bar{A}\bar{B}\bar{C}D \in \text{CANF}(P)$  or
- (3)  $\bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P)$ .

**Proof.** If one of these 3 cases holds,  $\mathcal{F}$  can contain one element unlimitedly often, e.g. the sets  $\{1\}$  (1),  $R$  (2) or  $\emptyset$  (3). On the other hand, all functions ( $\neq 0$ ) not described in Theorem 2 are equivalent to a function  $P'$  (Lemma 1) having a nonempty  $\text{CANF}(P')$  with  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}D$ ,  $\bar{A}\bar{B}C\bar{D}$ ,  $\bar{A}\bar{B}CD \notin \text{CANF}(P')$ , i.e. all conjunctions contained in  $\text{CANF}(P')$  contain at least  $A$  or  $B$ . So the elements of  $\mathcal{F}$  must be distinct, and  $2^r$  is an upper bound for  $|\mathcal{F}|$ . Hence  $n(P, r)$  exists.

An immediate consequence from Theorem 2 is that there are exactly  $2^{13} = 8192$  functions  $P$  where  $n(P, r)$  exists.

#### 4. A theorem on reducing

In all that follows let  $P$  be an arbitrary Boolean function with  $P \neq 0$  and  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}D$ ,  $\bar{A}\bar{B}C\bar{D}$ ,  $\bar{A}\bar{B}CD \notin \text{CANF}(P)$ .

The next three lemmata are easy to verify.

**Lemma 2.** An ordered pair  $(X, Y)$  of subsets of  $R$  satisfies  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}D$ ,  $\bar{A}\bar{B}C\bar{D}$ , or  $\bar{A}\bar{B}CD$  if and only if  $(X = \emptyset; Y \neq \emptyset, R)$ ,  $(X \neq \emptyset, R; Y = R)$ ,  $(X \neq \emptyset, R; Y = \emptyset)$  or  $(X = R; Y \neq \emptyset, R)$ , respectively.

**Lemma 3.** An ordered pair  $(X, Y)$  of subsets of  $R$  satisfies  $\bar{A}\bar{B}\bar{C}\bar{D}$  or  $\bar{A}\bar{B}\bar{C}D$  if and only if  $(X = \emptyset; Y = R)$  or  $(X = R; Y = \emptyset)$ , respectively.

**Lemma 4.** Let  $\mathcal{F}$  satisfy  $P$  with  $\text{CANF}(P) \in \mathcal{H}(\mathcal{E}'')$ . Then  $\emptyset \notin \mathcal{F}$  and  $R \notin \mathcal{F}$ .

**Theorem 3.** Let  $P = P' \vee P''$ , where  $\text{CANF}(P') \in \mathcal{H}_0(\mathcal{E}'')$  and

$$\text{CANF}(P'') \in \mathcal{H}(\{\bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}C\bar{D}, \bar{A}\bar{B}CD, \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D\}).$$

Then

$$n(P, r) = \begin{cases} n(P', r) + 2, & \text{if } \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'') \text{ or} \\ & \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'') \text{ or} \\ & \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'') \text{ or} \\ & \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'') \text{ or} \\ & \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'') \text{ or} \\ & \bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D, \bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P''), \\ n(P', r), & \text{if } P' \neq 0 \text{ and} \\ & \text{CANF}(P'') \in \mathcal{H}(\{\bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D\}), \\ 2, & \text{if } P' \equiv 0 \text{ and} \\ & \text{CANF}(P'') \in \mathcal{H}(\{\bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}D\}), \\ n(P', r) + 1, & \text{otherwise.} \end{cases}$$

**Proof.** Let  $\mathcal{F}$  be a family satisfying  $P = P' \vee P''$  with  $|\mathcal{F}| = n(P, r)$ . Let  $P'$  and  $P''$  be defined as in Theorem 3. By the Lemmata 2, 3, and 4 we obtain that  $\mathcal{F} \setminus \{\emptyset\} \setminus \{R\}$  satisfies  $P'$  and  $|\mathcal{F} \setminus \{\emptyset\} \setminus \{R\}| = n(P', r)$  holds. Hence

$$n(P', r) \leq n(P, r) \leq n(P', r) + 2. \quad (1)$$

1. Let equality hold in the right hand inequality. Then  $\emptyset, R \in \mathcal{F}$  and  $\bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'')$  or  $\bar{A}\bar{B}\bar{C}\bar{D} \in \text{CANF}(P'')$ . Furthermore,  $n(P', r) \geq 1$ . Thus there is at least one element  $X \in \mathcal{F}$  with  $X \notin \{\emptyset, R\}$ . In  $\mathcal{F}$  exists at least one ordered pair  $(\emptyset, X)$  or  $(X, \emptyset)$  and one pair  $(R, X)$  or  $(X, R)$ . Hence  $\bar{A}\bar{B}\bar{C}\bar{D}$  or  $\bar{A}\bar{B}\bar{C}\bar{D}$  lies in  $\text{CANF}(P'')$  as well as  $\bar{A}\bar{B}\bar{C}\bar{D}$  or  $\bar{A}\bar{B}\bar{C}\bar{D}$ . Now there are 8 possible combinations of these containing conjunctions, the 6 described in Theorem 3 and  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$  and  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$ . It is easy to verify that the 6 combinations described in Theorem 3 are sufficient for  $\emptyset, R \in \mathcal{F}$ . The last two are not, e.g.  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$ ,  $\bar{A}\bar{B}\bar{C}\bar{D}$  implies the existence of the following ordered pairs in  $\mathcal{F}$ :  $(\emptyset, X)$ ,  $(X, R)$  and  $(R, \emptyset)$ , which cannot be true, because each element of  $\mathcal{F}$  exists only one time.

2. Let equality hold in the left hand inequality. Let  $\mathcal{F}'$  denote  $\mathcal{F} \setminus \{\emptyset\} \setminus \{R\}$ . Then  $\mathcal{F}'$  has maximal cardinality under the condition  $P'$ . If  $\bar{A}\bar{B}\bar{C}\bar{D}$ , or  $\bar{A}\bar{B}\bar{C}\bar{D}$ , or  $\bar{A}\bar{B}\bar{C}\bar{D}$ , or  $\bar{A}\bar{B}\bar{C}\bar{D}$  is an element of  $\text{CANF}(P'')$  we construct a family  $\mathcal{G} = (\emptyset, \mathcal{F}')$ , or  $(\mathcal{F}', R)$ , or  $(R, \mathcal{F}')$ , or  $(\mathcal{F}', \emptyset)$ , respectively, where  $(\emptyset, \mathcal{F}')$  means an  $(|\mathcal{F}'| + 1)$ -tuple. Clearly,  $\mathcal{G}$  satisfies  $P$  in every case. Hence  $n(P', r) = |\mathcal{F}'| < |\mathcal{G}| = n(P, r)$ , which contradicts our supposition. Hence  $\text{CANF}(P'') \in \mathcal{K}(\{\bar{A}\bar{B}\bar{C}\bar{D}, \bar{A}\bar{B}\bar{C}\bar{D}\})$ . By Lemmata 3 and 4 it follows that  $\mathcal{F}$  satisfies  $P'$  or  $\mathcal{F}$  satisfies  $P''$ . Thus  $n(P, r) = \max(n(P'', r), n(P', r))$ .  $\text{CANF}(P'')$  is not empty. Hence  $n(P'', r) = 2$ . If  $P' \neq 0$  there is at least one pair  $(X, Y)$  satisfying  $P'$ , i.e.  $n(P', r) \geq 2$  and  $n(P, r) = n(P', r)$ . Finally we notice that  $n(0, r) = 1$ , which we have supposed. Hence  $n(P, r) = n(P'', r) = 2$  if  $P' \equiv 0$ .

In other cases equality cannot hold in (i). This completes the proof of Theorem 3.

Using Theorem 3 we only need to consider  $P'$  with  $\text{CANF}(P') \in \mathcal{K}(\mathcal{C}'')$ . If we have shown that  $P' \in \mathcal{K}_{i,1}$ , we know in which of the classes  $\mathcal{K}_{i,j}$  ( $j \in \{1, 2, 3\}$ )  $P$  lies.

## 5. Some lemmata on equivalent functions

**Lemma 5.** (1)  $P(A, B, C, D) \sim P(B, A, C, D)$ ,

(2)  $P(A, B, C, D) \sim P(A, B, D, C)$ .

**Proof.** (1)  $\mathcal{F} = (X_1, X_2, \dots, X_n)$  satisfies  $P(A, B, C, D)$  if and only if  $\mathcal{F}' = (X_n, \dots, X_2, X_1)$  satisfies  $P(B, A, C, D)$ .

(2)  $\mathcal{F}$  satisfies  $P(A, B, C, D)$  if and only if  $\mathcal{F}'' = (R \setminus X_1, R \setminus X_2, \dots, R \setminus X_n)$  satisfies  $P(B, A, D, C)$ . (1) completes the proof.

Let  $\mathcal{Q}$  be an operator which orders the elements of  $\mathcal{F}$  in such a way that  $|Y_i| \leq |Y_j|$  for  $1 \leq i < j \leq n$  and  $\mathcal{Q}(\mathcal{F}) = (Y_1, \dots, Y_n)$ .

**Lemma 6.** Let  $P$  and  $P'$  be arbitrary Boolean functions in two variables. Then

- (1)  $(A\bar{B} \vee \bar{A}B)P(C, D) \sim A\bar{B}P(C, D)$ , and
- (2)  $(A \vee B)P'(C, D) \sim AP'(C, D)$ .

**Proof.** The conditions on the right hand sides are sharper than the conditions on the left hand sides. So we only have to show that for a maximal family  $\mathcal{F}$  satisfying the left hand condition there is a family  $\mathcal{F}'$  of the same cardinality satisfying the right hand condition. Obviously,  $|\mathcal{Q}(\mathcal{F})| = |\mathcal{F}|$  holds and if  $(X, Y)$  is an arbitrary ordered pair of  $\mathcal{F}$  then these elements belong to  $\mathcal{Q}(\mathcal{F})$  too. They form an ordered pair  $(Z, W)$  with either  $(Z, W) = (X, Y)$  or  $(Z, W) = (Y, X)$ . Notice that the pairs of  $\mathcal{Q}(\mathcal{F})$  satisfy  $A$  always, and if  $(X, Y)$  satisfies  $P(C, D)$  then  $(Z, W)$  satisfies  $P(C, D)$  too. If  $(X, Y)$  satisfies  $ABP(C, D)$ ,  $A\bar{B}P(C, D)$  or  $\bar{A}BP(C, D)$ , we obtain that  $(Z, W)$  satisfies  $ABP(C, D)$ ,  $A\bar{B}P(C, D)$  or  $\bar{A}BP(C, D)$ , respectively. Notice that  $A \vee B = AB \vee A\bar{B} \vee \bar{A}B$ . Hence the lemma follows immediately.

The next two lemmata are immediate consequences from Lemmata 5 and 6.

**Lemma 7.** Let  $P \in \mathcal{K}_0(\mathcal{C}')$ . Then

- (1)  $P \vee A\bar{B}CD \vee \bar{A}BCD \sim P \vee A\bar{B}CD$ ,
- (2)  $P \vee \bar{A}BCD \sim P \vee A\bar{B}CD$ .

**Lemma 8.** Let  $P \in \mathcal{K}_0(\{ABCD, AB\bar{C}\bar{D}, A\bar{B}CD\})$ . Then  $P \vee AB\bar{C}\bar{D} \sim P \vee ABC\bar{D}$ .

## 6. $n(P, r)$ of the reduced conditions $P$

By the lemmata of Section 5 we can reduce the conditions to be considered to the following 23 types (we may omit  $A\bar{B}CD$  in  $\text{CANF}(P)$  by Lemma 7 and exchange  $AB\bar{C}\bar{D}$  for  $ABC\bar{D}$ , if  $AB\bar{C}\bar{D} \in \text{CANF}(P)$  and  $ABC\bar{D} \notin \text{CANF}(P)$ , by Lemma 8), where for simplicity the well known relations  $M \vee MN = M$  and  $MN \vee M\bar{N} = M$  were used as often as possible.

The first 5 results are described in Section 1. In general we prove an upper bound for  $n(P, r)$  in the other cases. This being also a lower bound follows by the given examples immediately.

1.  $ACD \in \mathcal{K}_{3,1}$ .
2.  $AB \in \mathcal{K}_{6,1}$ .
3.  $ABC \in \mathcal{K}_{7,1}$ .
4.  $ABCD \in \mathcal{K}_{8,1}$ .
5.  $ABC \vee ABD \in \mathcal{K}_{7,1}$ .
6.  $ABC \vee ACD \vee ABD \in \mathcal{K}_{4,1}$ . If  $\mathcal{F}$  satisfies this condition,  $\mathcal{F}$  satisfies the following condition too. With an arbitrary element  $X \in \mathcal{F}$ ,  $\mathcal{F}$  contains at most one element  $Y$  with  $X$  and  $Y$  having no empty intersection. Erdős [5] determined the maximal cardinality of  $\mathcal{F}$  in the dual case. Example 1 (see the list of examples, Table 2).

Table 2. List of examples

No.	Example for $\mathcal{F} = (X_1, X_2, \dots, X_r)$
1	$\mathcal{Q}(\mathcal{G})$ with $\mathcal{G} = \{X: X \subset R, 1 \leq  X  \leq \frac{1}{2}r\}$ if $r$ even, and $\mathcal{G} = \{X: X \subset R, 1 \leq  X  \leq \frac{1}{2}(r-1) \text{ or } ( X  = \frac{1}{2}(r+1) \text{ and } r \notin X)\}$ if $r$ odd.
2	$X_1 = \{1\}, X_2 = R \setminus \{1\}$ .
3	$X_i = \bigcup_{j=1}^i \{j\}$ ( $i = 1, 2, \dots, r-1$ ).
4	$X_i = \{i\}$ ( $i = 1, 2, \dots, r$ ).
5	$\mathcal{Q}(\mathcal{G})$ with $\mathcal{G}$ consists of all subsets of $R \setminus \{1\}$ without $\emptyset$ .
6	$\mathcal{F}$ consists of all subsets of $R$ of cardinality $\lfloor \frac{1}{2}r \rfloor$ .
7	$r$ odd: $\mathcal{F}$ consists of all subsets of $R$ of cardinality $\frac{1}{2}(r-1)$ containing the element 1 and all subsets of $R$ of cardinality $\frac{1}{2}(r+1)$ not containing the element 1. $r$ even: $\mathcal{F}$ consists of all subsets of $R$ of cardinality $\frac{1}{2}r$ .
8	$\mathcal{Q}(\mathcal{G})$ , where $\mathcal{G}$ consists of all sets defined in Examples 3 and 4.
9	$\mathcal{F}$ consists of all sets defined in Example 8 without $\{1, \dots, r-1\}$ .
10	$\mathcal{Q}(\mathcal{G})$ , where $\mathcal{G}$ consists of all sets defined in Example 9 and its complements.

7.  $\overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{15,1}$ .  $\mathcal{F}$  can contain at most  $X$  and  $R \setminus X$ . Example 2.

8.  $\overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{14,1}$ .  $\mathcal{F}$  forms a chain, without  $\emptyset$  and  $R$ , i.e.  $n \leq r-1$ . Example 3.

9.  $\overline{A}\overline{B}\overline{C}\overline{D} \vee \overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{14,1}$ . Let  $(X, Y)$  be a pair of  $\mathcal{F}$  satisfying  $\overline{A}\overline{B}\overline{C}\overline{D}$ , i.e.  $Y = R \setminus X$ . Let  $Z \in \mathcal{F} \setminus \{X\} \setminus \{Y\}$ . If  $(Z, X)$  and  $(Z, Y)$  lie in  $\mathcal{F}$ , both pairs satisfy  $\overline{A}\overline{B}\overline{C}\overline{D}$ . Hence  $Z \subset X$  and  $Z \subset R \setminus X$  hold and it follows  $Z = \emptyset$ , which contradicts our supposition that  $\emptyset, R \in \mathcal{F}$ . In analogy follows that it is impossible that  $(X, Z)$  and  $(Z, Y)$ , or  $(X, Z)$  and  $(Y, Z)$ , lie in  $\mathcal{F}$ , i.e.  $\mathcal{F}$  satisfies  $\overline{A}\overline{B}\overline{C}\overline{D}$  or  $\mathcal{F}$  satisfies  $\overline{A}\overline{B}\overline{C}\overline{D}$ . By 7 and 8 we obtain

$$r(\overline{A}\overline{B}\overline{C}\overline{D} \vee \overline{A}\overline{B}\overline{C}\overline{D}, r) = \max(n(\overline{A}\overline{B}\overline{C}\overline{D}, r), n(\overline{A}\overline{B}\overline{C}\overline{D}, r)) = \begin{cases} r-1 & \text{if } r \geq 3, \\ 2 & \text{if } r = 2. \end{cases}$$

(In spite of the case  $r=2$  we put this condition in  $\mathcal{H}_{14,1}$ .)

10.  $\overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{13,1}$ . By  $\overline{D}$  every element of  $R$  can lie at most in one  $X_i$ , i.e.  $n \leq r$ . Example 4.

11.  $\overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{13,1}$ . By 10 we get  $n \leq r$ . Example 4 for  $r \geq 3$ . For  $r=2$  we obtain  $n=1$ .

12.  $\overline{A}\overline{B}\overline{C} \vee \overline{A}\overline{B}\overline{D} \in \mathcal{H}_{13,1}$ . Consider 3 subsets  $X, Y, Z$  of  $R$ , where  $(X, Y)$  satisfies  $\overline{A}\overline{B}\overline{C}$  and  $(Y, Z)$  satisfies  $\overline{A}\overline{B}\overline{D}$ . Then for all  $v \in R$ :  $v \notin X$  implies  $v \in Y$  and further  $v \notin Z$ , i.e.  $(X, Z)$  does not satisfy  $\overline{A}$  which contradicts the property of  $\mathcal{F}$ . Analogously  $(X, Y)$  cannot satisfy  $\overline{A}\overline{B}\overline{D}$  if  $(Y, Z)$  satisfies  $\overline{A}\overline{B}\overline{C}$ , etc. Hence we obtain that  $\mathcal{F}$  satisfies  $\overline{A}\overline{B}\overline{D}$  or  $\mathcal{F}$  satisfies  $\overline{A}\overline{B}\overline{C}$ . By  $\overline{A}\overline{B}\overline{C} \sim \overline{A}\overline{B}\overline{D}$  we obtain  $\overline{A}\overline{B}\overline{C} \vee \overline{A}\overline{B}\overline{D} \sim \overline{A}\overline{B}\overline{D}$ .

13.  $\overline{A}\overline{B}\overline{C}\overline{D} \vee \overline{A}\overline{B}\overline{C}\overline{D} \in \mathcal{H}_{13,1}$ . We get  $r = n(\overline{A}\overline{B}\overline{C}\overline{D}, r) \leq n(\overline{A}\overline{B}\overline{C}\overline{D} \vee \overline{A}\overline{B}\overline{C}\overline{D}, r) \leq n(\overline{A}\overline{B}\overline{C} \vee \overline{A}\overline{B}\overline{D}, r) = r$  by 11 and 12 for  $r \geq 3$ . For  $r=2$  it follows  $n=1$  immediately.

14.  $\overline{A}\overline{B}\overline{C} \vee \overline{A}\overline{B}\overline{D} \vee \overline{A}\overline{C}\overline{D} \in \mathcal{H}_{2,1}$ .  $\mathcal{F}$  contains no set and its complement. Example 5.

15.  $\overline{A}\overline{B}\overline{C} \vee \overline{A}\overline{C}\overline{D} \in \mathcal{H}_{2,1}$ . This follows by 14 and Example 5.



**16.**  $AB \vee ACD \in \mathcal{H}_{1,1}$ . Arbitrary pairs  $(X, Y)$  with  $X, Y \notin \{\emptyset, R\}$  satisfy this condition.

**17.**  $ABC \vee ABD \in \mathcal{H}_{6,1}$ . Clearly,  $n(ABC \vee ABD, r) \leq n(AB, r)$  holds. Example 6.

**18.**  $ABCD \vee A\bar{B}\bar{C}\bar{D} \in \mathcal{H}_{9,1}$ . We can divide  $\mathcal{F}$  in  $\mathcal{F}_1$  and  $\mathcal{F}_2$ , where  $\mathcal{F}_2$  consists only of complementary sets of  $\mathcal{F}_1$ , and  $\mathcal{F}_1$  do not contain a set and its complement. Hence  $\mathcal{F}_1$  as well as  $\mathcal{F}_2$  satisfy  $ABCD$ , i.e.  $n \leq 2 \binom{r-1}{4(r-2)}$  by 4. Example 7. This maximum holds exactly if  $\mathcal{F}$  consists of pairs of complementary subsets. The maximum of  $\mathcal{F}$  in this sense was also determined by Bollobás [2].

**19.**  $AB\bar{D} \vee A\bar{B}CD \in \mathcal{H}_{1,1}$ . Let  $(X, Y)$  be an arbitrary pair of  $\mathcal{F}$ . Then  $X \subset Y$  (we use the inclusion in the strong sense or  $X \cap Y = \emptyset$  holds. Hence there is uniquely a set  $\mathcal{G}(\mathcal{F}) \subseteq \mathcal{F}$  with

- (1)  $X \cap Y = \emptyset$  for all pairs  $(X, Y)$  with  $X, Y \in \mathcal{G}(\mathcal{F})$ ,
- (2) for all  $X \in \mathcal{F} \setminus \mathcal{G}(\mathcal{F})$  there is an element  $Y \in \mathcal{G}(\mathcal{F})$  with  $X \subset Y$ .

Let  $X \in \mathcal{G}(\mathcal{F})$  and let  $\mathcal{X} = \{Y : Y \in \mathcal{F}, Y \subset X\}$ . Then

$$\mathcal{F} = \mathcal{G}(\mathcal{F}) \cup \bigcup_{X \in \mathcal{G}} \mathcal{X}.$$

The elements of  $\mathcal{X}$  satisfy  $AB\bar{D} \vee A\bar{B}CD$  too, i.e.  $|\mathcal{X}| \leq n(A\bar{B}CD \vee AB\bar{D}, |X|)$ . Let  $\mathcal{F}$  be an arbitrary family on  $R$  satisfying  $AB\bar{D} \vee A\bar{B}CD$ . Then

$$|\mathcal{F}| \leq |\mathcal{G}(\mathcal{F})| + \sum_{X \in \mathcal{G}} n(AB\bar{D} \vee A\bar{B}CD, |X|). \quad (2)$$

We will prove  $n(AB\bar{D} \vee A\bar{B}CD, r) = 2r - 2$  by induction on  $r$ .

(1)  $r = 1$ . (We supposed at the beginning  $r \geq 2$ , but if there is  $X \in \mathcal{G}(\mathcal{F})$  with  $|X| = 1$ ,  $\mathcal{X}$  forms a family on an one-element set satisfying  $AB\bar{D} \vee A\bar{B}CD$ . This case can happen by induction.) Clearly,  $n = 0$ .

$r = 2$ . The only pair  $(\{1\}, \{2\})$  satisfies  $AB\bar{D}$ .

(2) Let  $\mathcal{F}$  be an arbitrary family on  $R$  satisfying  $AB\bar{D} \vee A\bar{B}CD$ . We distinguish two cases.

(2.1)  $|\mathcal{G}(\mathcal{F})| = 1$ . By  $X \subset R$  we have  $|X| \leq r - 1$ . Hence

$$|\mathcal{F}| \leq 1 + n(AB\bar{D} \vee A\bar{B}CD, r - 1) = 2r - 3 \quad \text{by (2).}$$

(2.2)  $|\mathcal{G}(\mathcal{F})| \geq 2$ . Then  $\sum_{X \in \mathcal{G}} |X| \leq r$  and we obtain

$$\begin{aligned} |\mathcal{F}| &\leq |\mathcal{G}(\mathcal{F})| + \sum_{X \in \mathcal{G}} (2|X| - 2) \\ &= 2 \sum_{X \in \mathcal{G}} |X| - |\mathcal{G}(\mathcal{F})| \\ &\leq 2r - 2 \quad \text{by (2).} \end{aligned}$$

Example 8 completes the proof.

**20.**  $AB\bar{C}\bar{D} \vee A\bar{B}CD \in \mathcal{H}_{12,1}$ . Let  $(X, Y)$  be an arbitrary pair of  $\mathcal{F}$ . Then  $X \subset Y$  or  $(X \cap Y = \emptyset \text{ and } X \cup Y \neq R)$ . There is a set  $\mathcal{G}(\mathcal{F}) \subseteq \mathcal{F}$  defined as in 19. We notice

$X \in \mathcal{G}(\mathcal{F})$  implies that  $\mathcal{X}$  satisfies  $AB\bar{D} \vee A\bar{B}CD$ , but not necessarily  $AB\bar{C}\bar{D} \vee A\bar{B}CD$ . Hence for arbitrary families  $\mathcal{F}$  satisfying  $AB\bar{C}\bar{D} \vee A\bar{B}CD$  we have

$$|\mathcal{F}| \leq |\mathcal{G}(\mathcal{F})| + \sum_{X \in \mathcal{G}} n(AB\bar{D} \vee A\bar{B}CD, |X|). \quad (3)$$

Using (3) we will prove  $n(AB\bar{C}\bar{D} \vee A\bar{B}CD, r) = 2r - 3$  by induction on  $r$ .

(1)  $r = 2$ . Then the only possible pair  $(\{1\}, \{2\})$  does not satisfy  $AB\bar{C}\bar{D} \vee A\bar{B}CD$ , i.e.  $n(AB\bar{C}\bar{D} \vee A\bar{B}CD, 2) = 1$ .

(2) Let  $\mathcal{F}$  be an arbitrary family on  $R$  satisfying  $AB\bar{C}\bar{D} \vee A\bar{B}CD$ . We will use (3) and 19. We distinguish three cases.

(2.1)  $|\mathcal{G}(\mathcal{F})| = 1$ . By  $X \subset R$  we have  $|X| \leq r - 1$ . Hence

$$|\mathcal{F}| \leq 1 + 2(r - 1) - 2 = 2r - 3.$$

(2.2)  $|\mathcal{G}(\mathcal{F})| = 2$ . Let  $\mathcal{G}(\mathcal{F}) = (X_1, X_2)$ . Then  $|X_1| + |X_2| \leq r - 1$ , since  $|X_1| + |X_2| = r$  implies  $X_1 = R \setminus X_2$ , but then  $(X_1, X_2)$  does not satisfy  $AB\bar{C}\bar{D} \vee A\bar{B}CD$ . Hence

$$|\mathcal{F}| \leq 2 + (2|X_1| - 2) + (2|X_2| - 2) \leq 2r - 4.$$

(2.3)  $|\mathcal{G}(\mathcal{F})| \geq 3$ . Then  $\sum_{X \in \mathcal{G}} |X| \leq r$  and we obtain

$$|\mathcal{F}| \leq |\mathcal{G}(\mathcal{F})| + \sum_{X \in \mathcal{G}} (2|X| - 2) \leq 2r - |\mathcal{G}(\mathcal{F})| \leq 2r - 3.$$

Example 9 completes the proof.

**21.**  $AB\bar{C}\bar{D} \vee AB\bar{C}D \vee A\bar{B}CD \in \mathcal{H}_{12,1}$ .  $\mathcal{F}$  contains no set and its complement. We form a family  $\mathcal{F}'$  of cardinality  $|\mathcal{F}|$  by the following process:

(1) If  $1 \in X \in \mathcal{F}$ , then  $R \setminus X \in \mathcal{F}'$ .

(2) If  $1 \notin X \in \mathcal{F}$ , then  $X \in \mathcal{F}'$ .

The pairs of  $\mathcal{F}'$  satisfy  $\bar{A}B\bar{C}D \vee A\bar{B}CD \vee AB\bar{C}\bar{D} \vee ABC\bar{D}$ , and no set of  $\mathcal{F}'$  contains the element 1. By Lemma 7 we obtain that  $\mathcal{F}'$  satisfies  $\bar{A}B\bar{C}D \vee A\bar{B}CD \vee ABC\bar{D}$ . On the other hand no pair  $(X, Y)$  of  $\mathcal{F}'$  can satisfy  $AB\bar{C}\bar{D}$ , i.e.  $\mathcal{F}'$  satisfies  $\bar{A}B\bar{C}D \vee A\bar{B}CD$  and by 20:

$$2r - 3 \leq n(\bar{A}B\bar{C}D \vee A\bar{B}CD \vee ABC\bar{D}, r) \leq n(\bar{A}B\bar{C}D \vee A\bar{B}CD, r) = 2r - 3.$$

**22.**  $\bar{A}B\bar{C}D \vee AB\bar{C}\bar{D} \vee AB\bar{D} \in \mathcal{H}_{10,1}$ . By

$$\bar{A}B\bar{C}D \vee AB\bar{C}\bar{D} \vee AB\bar{D} = (\bar{A}B\bar{C}D \vee AB\bar{C}\bar{D} \vee ABC\bar{D}) \vee AB\bar{C}\bar{D}$$

we get in analogy to 18 that

$$\begin{aligned} n(\bar{A}B\bar{C}D \vee AB\bar{C}\bar{D} \vee AB\bar{D}, r) &\leq 2n(\bar{A}B\bar{C}D \vee AB\bar{C}\bar{D} \vee ABC\bar{D}, r) \\ &= 2(2r - 3) = 4r - 6. \end{aligned}$$

Example 10 completes the proof.

**23.**  $ACD \vee AB\bar{C}\bar{D} \in \mathcal{K}_{5,1}$ . The author was not able to determine  $f(r) = n(ACD \vee AB\bar{C}\bar{D}, r)$  exactly, but we know the following facts.

$$(1) f(r) \geq \max(n(ACD, r), n(ABCD \vee AB\bar{C}\bar{D}, r)) = \begin{cases} \binom{r}{\frac{1}{2}r} & \text{for } r = 2, 4, 6, 8, \\ 2^{r-2} & \text{otherwise.} \end{cases}$$

(2) Let  $\mathcal{F}_1 \subseteq \mathcal{F}$  with  $R \setminus X \in \mathcal{F} \setminus \mathcal{F}_1$  for all  $X \in \mathcal{F}_1$  and  $\mathcal{F} \setminus \mathcal{F}_1$  satisfies  $ACD$ . Let  $X \in \mathcal{F}$ ,  $R \setminus X \in \mathcal{F}$  and  $Y \in \mathcal{F}$ . Then  $(X, Y)$  and  $(Y, X)$  satisfy  $ABCD$ . (Without loss of generality let  $(X, Y)$  satisfy  $A\bar{B}\bar{C}D$ . Then  $(R \setminus X, Y)$  satisfies  $AB\bar{C}\bar{D}$  or  $(Y, R \setminus X)$  satisfies  $AB\bar{C}\bar{D}$ , not  $ACD \vee AB\bar{C}\bar{D}$ .) Hence  $\mathcal{F}_1$  satisfies  $ABCD$  and  $\mathcal{F} \setminus \mathcal{F}_1$  satisfies  $ACD$ . Hence

$$f(r) \leq 2^{r-2} + \binom{r-1}{\lfloor \frac{1}{2}(r-2) \rfloor}.$$

## 7. Concluding remark

We conjecture

$$f(r) = n(ACD \vee AB\bar{C}\bar{D}, r) = \begin{cases} \binom{r}{\frac{1}{2}r} & \text{for } r = 2, 4, 6, 8, \\ 2^{r-2} & \text{otherwise.} \end{cases}$$

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